

¹ Srinivasa Rao Reddi² Dr P. Rajesh Kumar

Alternating Optimization Algorithms for Hybrid beamforming in mm wave MIMO systems



Abstract Millimeter wave (mmWave) interchanges has been viewed as a critical empowering innovation for 5G organizations, as it offers significant degrees more noteworthy range than current cell groups. As opposed to traditional various info numerous output (MIMO) frameworks, precoding in mmWave MIMO unable to performed completely at baseband utilizing computerized precoders, as just a predetermined no. of sign blenders and Analog to-digital converters (ADCs) can be upheld thinking about their expense and power utilization. Hybrid precoding transceiver design, joining a digital precoder and analog precoder, has as of late gotten impressive consideration. Nonetheless, the ideal plan of such half breed precoders has not been completely perceived. In this paper, treating the hybrid precoder plan as a lattice factorization issue, alternating minimization (AltMin) calculations will be proposed for two different half and half precoding structures, i.e., the completely associated what's more, somewhat associated structures. Specifically, for the fully connected structure, an AltMin calculation in view of complex advancement is proposed to move toward the exhibition of the completely computerized precoder, which, notwithstanding, has a high intricacy. Subsequently, a low-intricacy AltMin calculation is then proposed, by implementing a symmetrical limitation on the computerized precoder. Besides, for the to some degree associated structure, an AltMin calculation. Be that as it may, the ideal plan of is additionally evolved with the assistance of semidefinite unwinding. For commonsense execution, the proposed AltMin algorithms are additionally stretched out to the broadband setting with symmetrical recurrence division multiplexing (OFDM) adjustment. Recreation results will show critical execution gains of the proposed AltMin calculations over existing half breed precoding calculations. In addition, in light of the proposed calculations, recreation correlations between the two mixture precoding designs will give significant plan experiences.

Keywords—Alternating minimization, hybrid precoding, low-complexity, manifold optimization, millimeter wave communications, semidefinite relaxation.

I. INTROUDUCITON

THE limit of remote organizations needs to dramatically increment to fulfill the hazardous needs for high-information rate mixed media access. Specifically, the forthcoming 5G organizations target completing the extended 1000X expansion in limit by 2020 [2]. One method for helping the limit is to work on the ghostly effectiveness through actual layer strategies, like enormous various info different result (MIMO) and high level channel coding [3]. Further improvement in region ghastrly productivity can be accomplished by network densification, for example, sending little cells [4], [5] and permitting gadget to device(D2D) correspondences [6], and empowering progressed participation, for example, Cloud-RANs [7], [8]. By the by, the range mash in current cell frameworks brings a central bottleneck for the further limit increment. Hence, itis basic to take advantage of underutilized range groups, including the groups that poor person been utilized for cell correspondences yet. Milli meter wave (mmWave) groups from 30 GHz to 300GHz, beforehand just considered for open air highlight point backhaul joins [9] or for conveying indoor high-goal media streams [10], have now been advanced as a superb contender for new range in 5G cell frameworks, with the potential data transfer capacity arriving at 10 GHz. This view is upheld by late trials in New York City that showed the possibility of mmWave open air cell correspondences [11],[12]. Initially, the primary impediments for the progress of mmWave cell frameworks are the tremendous way misfortune and downpour lessening, because of the ten times increment of the transporter recurrence [11]. Because of the little frequency of mmWave signals, mmWave MIMO precoding can use enormous scope radio wire sat handsets to give huge beamforming gains to battle the way misfortune and to incorporate profoundly directional shafts. In addition, unearthly proficiency can be additionally expanded by sending different information streams by means of spatial multiplexing. For conventional MIMO frameworks,

¹ Department of Electronics and Communication Engineering, Andhra University College of Engineering, Andhra University, Visakhapatnam, India.

² Department of Electronics and Communication Engineering, Andhra University College of Engineering, Andhra University, Visakhapatnam, India.

*Corresponding author: Srinivasa Rao Reddi

Copyright © JES 2024 on-line: journal.esrgroups.org

precoding is regularly achieved at baseband through computerized precoders, which can change both the extent and period of the signs. Notwithstanding, completely advanced precoding requests radio recurrence (RF) chains, including signal blenders and simple to-computerized converters (ADCs), similar in number to the receiving wire components. While the little frequencies of mmWave frequencies work with the utilization of an enormous number of receiving wire components, the restrictive expense and power utilization of RF chains make computerized precoding in doable. Given such one of a kind limitations in mmWave MIMO frameworks, a mixture precoding engineering has as of late gotten a lot of thought, which just requires few RF chains communicating between a low-layered computerized precoder and a high-layered simple precoder [13]. As the simple precoders are still of high aspect, it is unrealistic to carry out them in the RF space with eager for power variable voltage speakers (VGAs) [12]. This heuristic prompts a guideline, i.e., acknowledging simple precoders with minimal expense stage shifters to the detriment of forfeiting the capacity to change the greatness of the RF signals. As per the planning from RF chains to receiving wires, which decides the quantity of stage shifters being used, the half and half precoding handset models can be sorted into the completely associated and somewhat associated structures, as shown in Fig. 1(b) and Fig. 1(c), individually. The previous design partakes in the full beamforming gain for every RF chain with a characteristic mix between RF chains and radio wire components, i.e., every RF fasten is associated with all radio wires. Then again, forfeiting some beamforming gain, the somewhat associated structure essentially decreases the equipment execution intricacy by interfacing every RF chain just with part of the receiving wires. In [13], it has been called attention to that augmenting the otherworldly productivity of mmWave frameworks can be approximated by limiting the Euclidean distance between half breed precoders and the completely advanced precoder. This delivers the mixture precoder plan as a lattice factorization issue with unit modulus limitations forced by the stage shifters. Albeit huge measures of examination endeavors have been put resources into taking care of different network factorization issues as of late [14], [15], with the interesting unit modulus requirements, the ideal plan of crossover precoders stays obscure. Existing works frequently add a few additional requirements on simple precoders to improve on the simple aspect plan with unit modulus imperatives, which will cause execution misfortune. This rouses us to reexamine the mixture precoder plan or, at the end of the day, the network factorization issue with unit modulus imperatives on simple precoders. Specifically, a superior method for managing the unit modulus limitation merits further sensitive examinations. In this paper, by embracing substituting minimization (AltMin) as the principal configuration approach, we will propose different mixture precoding calculations to move toward the presentation of the ideal completely advanced precoder. In view of the rule of exchanging minimization, three novel calculations will be proposed to track down successful half breed precoding answers for the completely associated and somewhat associated structures.

A. Related Works

Half and half precoding is a recently arisen method in mmWave MIMO frameworks [16]-[20]. Up until this point the fundamental endeavors are on the completely associated structure [13], [21]-[28]. Symmetrical matching pursuit (OMP) is the most generally utilized calculation, which frequently offers sensibly great execution. This calculation requires the segments of simple precoding lattice to be picked from specific applicant vectors, for example, exhibit reaction vectors of the channel [13], [21], [22], and discrete Fourier change (DFT) beamformers [23], [24]. Thus, the OMP-based crossover precoder configuration can be seen as a sparsity compelled lattice recreation issue. However the plan issue is enormously worked on along these lines, confining the space of possible simple precoding arrangements definitely causes some presentation misfortune. Also, additional above will be raised for gaining the data of exhibit reaction vectors ahead of time. Later consideration has predominantly centered around diminishing the calculation intricacy of the OMP calculation [21], [25], e.g., by reusing the framework reversal bring about every emphasis. There are works researching some exceptional half breed precoding frameworks. In [26], an ideal half breed precoder plan in an exceptional case was recognized, i.e., when the quantity of RF chains is something like two times that of the information streams. Nonetheless, the ideal answer for the general case is obscure. The creators of [28] examined VGA-empowered half and half precoding as indicated by various plan rules. By eliminating VGAs from the RF space, low-power simple precoders with stage shifters were likewise thought to be in [28], whose stages are heuristically removed from those of the VGA-empowered arrangement. Then again, substantially less consideration has been paid on the to some degree associated structure [29]-[34]. In [29], [30], code book-based plan of cross breed precoders was introduced for

narrowband and symmetrical recurrence division multiplexing(OFDM) frameworks, separately. Albeit the codebook-based plan partakes in a low intricacy, there will be sure execution misfortune, and it isn't clear how much execution gain can be additionally gotten. By using the possibility of progressive obstruction dropping (SIC), an iterative crossover precoding calculation for the somewhat associated structure was proposed in [31]. The calculation is laid out in light of the supposition that the advanced precoding network is corner to corner, and that implies that the computerized precoder just designates capacity to various information streams, and the quantity of RF chains ought to be equivalent to that of the information streams. In any case, utilizing just simple precoders to give beamforming gains is clearly a sub-standard strategy[31], [32], which likewise veers off from the inspiration of cross breed precoding. Such a long ways there is no concentrate straightforwardly enhancing the half and half precoders without additional limitations in the to some degree associated structure, which will be sought after in this paper.

B. Commitments

In this paper, we research the cross breed precoder plan in mmWave MIMO frameworks. We will take on exchanging minimization (AltMin) as the fundamental plan rule, which decouples the precoder plan issue into two subproblems, i.e., the simple and advanced precoder plan. The proposed AltMin calculations will on the other hand upgrade the advanced precoder and the simple precoder. Our significant commitments are summed up as follows:• For the completely associated structure, we will show that the unit modulus imperatives of the simple precoder characterize a Riemannian complex. We will hence propose a complex streamlining based AltMin (MO-AltMin) calculation. This calculation needn't bother with any pre-decided competitor set for the simple precoder, and it is the main endeavor to straightforwardly take care of the half and half precoder plan issue under the unit modulus constraints.• By forcing a symmetrical property of the computerized precoder, we then foster an AltMin calculation utilizing stage extraction (PE-AltMin) as a low-intricacy partner of the MO-AltMin calculation, which will likewise be more down to earth for implementation.• For the to some degree associated structure, we propose a semidefinite unwinding based AltMin (SDR-AltMin) calculation. This calculation really plans the cross breed precoders by offering ideal answers for both sub issues of simple and computerized precoders in each rotating cycle, and it is the primary exertion straightforwardly improving the mixture precoders in such a structure.• The three proposed AltMin Calculations can be for the most part applied to both narrowband and broadband OFDM frameworks. Reproduction results will show that the MO-AltMin calculation productively recognizes a close ideal arrangement, while the PE-AltMin calculation with functional computational intricacy beats the current OMP algorithm.• With the proposed AltMin calculations, broad correlations are given to uncover significant plan experiences. Specifically, the proposed AltMin calculations for the completely associated construction can assist with moving toward the presentation of the completely computerized precoder as long as the number of RF anchors is equivalent to the quantity of information streams, which can't be accomplished by the generally applied OMP calculation. Then again, the SDR-AltMin calculation for the to some degree associated structure gives huge additions over simple beamforming. Besides, by exploiting its low-intricacy equipment execution, the somewhat associated structure gives a higher energy proficiency than the completely associated one with a somewhat enormous number of RF chains executed at handsets. Hence, our outcomes solidly lay out the viability of the rotating minimization as a key plan philosophy for half breed precoder plan in mmWave MIMO frameworks.

II. SYSTEM MODEL AND PROBLEM FORMULATION.

In this part, we will initially introduce the system model and channel model of the mmWave MIMO system, and afterward figure out the hybrid precoding issue. A. system Model reflect a single user mmWave MIMO system, where N_s information streams are sent and gathered by N_t communicate receiving wires and N_r get receiving wires. The quantities of RF chains at the transmitter and beneficiary are separately indicated as N_{RF}^t and N_{RF}^r which are dependent upon requirements

$$N_s \leq N_{RF}^t \leq N_t \text{ and } N_s \leq N_{RF}^r \leq N_r.$$

1

The transmitted signal can be written as

$$\mathbf{x} = \mathbf{F}_{\text{RF}}\mathbf{F}_{\text{BB}}\mathbf{s}, \quad 2$$

where \mathbf{s} is the $N_s \times 1$ symbol. The hybrid precoders consist of an $N_{\text{RF}}^t \times N_s$ digital baseband precoder \mathbf{F}_{BB} and an $N_t \times N_{\text{RF}}^t$ analog RF precoder \mathbf{F}_{RF} .

III. MANIFOLD OPTIMIZATION BASED HYBRIDPRECODING

The completely associated structure, wherein every RF fasten is associated with all the radio wire components, is habitually utilized in mmWave MIMO frameworks, as displayed in Fig. 1(b). This construction confines each passage in the simple precoding network to be unit modulus, and this component wise imperative makes the precoder plan issue obstinate. In this part, by seeing that the unit modulus imperatives characterize a Riemannian complex, we will propose an AltMin calculation in view of complex streamlining to straightforwardly tackle (5). For the completely associated structure, propelled by [40], the creators of[26] have shown that the Frobenius standard in (5) can be made precisely zero under the condition that $N_{\text{RF}}^t \geq 2N_s$. This implies that the cross breed precoders can accomplish the presentation of the completely computerized precoder in this exceptional case, and the ideal mixture precoders were gotten in [26]. Thus, we will focus on the region where $N_s \leq N_{\text{RF}}^t < 2N_s$ in this paper.

A. Analog RF Precoder Design via Manifold Optimization

For the fully-connected structure, the feasible set \mathcal{A}_f of the analog precoder can be specified by $|(F_{\text{RF}})_{i,j}| = 1$ as each RF chain is connected to all the antennas

B. Hybrid Precoder Design With Algorithm 1 at hand, the hybrid precoder design via alternating minimization for the fully-connected structure is described in the MO-AltMin Algorithm by solving problems(6) and (8) iteratively.

MO-AltMin Algorithm: Manifold Optimization Based Hybrid Precoding for the Fully-connected Structure

Input: \mathbf{F}_{opt}

- 1: Construct $\mathbf{F}_{\text{RF}}^{(0)}$ with random phases and set $k = 0$;
- 2: **repeat**
- 3: Fix $\mathbf{F}_{\text{RF}}^{(k)}$, and $\mathbf{F}_{\text{BB}}^{(k)} = \mathbf{F}_{\text{RF}}^{(k)\dagger}\mathbf{F}_{\text{opt}}$;
- 4: Optimize $\mathbf{F}_{\text{RF}}^{(k+1)}$ using Algorithm 1 when $\mathbf{F}_{\text{BB}}^{(k)}$ is fixed;
- 5: $k \leftarrow k + 1$;
- 6: **until** a stopping criterion triggers;
- 7: For the digital precoder at the transmit end, normalize $\hat{\mathbf{F}}_{\text{BB}} = \frac{\sqrt{N_s}}{\|\mathbf{F}_{\text{RF}}\mathbf{F}_{\text{BB}}\|_F} \mathbf{F}_{\text{BB}}$.

IV. LOW-COMPLEXITY HYBRID PRECODING FOR THEFULLY-CONNECTED STRUCTURE

Albeit the MO-AltMin calculation can straightforwardly deal with the unit modulus limitations, the quantity of such requirements perhaps significantly huge because of the enormous size radio wire cluster. Accordingly, the high computational intricacy will forestall its reasonable execution. It persuades us to foster a cross breed precoding calculation with lower computational intricacy and slight execution misfortune. In this part, by using the symmetrical property of the computerized precoder, we will propose a low intricacy plan for the simple precoder subject to unit modulus limitations. Because of the symmetrical property of the advanced precoder, the computerized precoder and the unconstrained ideal computerized precoder. However it will bring about some presentation misfortune contrasted with the complex based calculation, reproductions will exhibit its presentation acquires over existing calculations.

A. Digital Baseband Precoder Structure

Note that the segments of the unconstrained ideal precoding grid \mathbf{F}_{opt} are commonly symmetrical to relieve the impedance between the multiplexed streams. Enlivened by this construction of the unconstrained precoding arrangement, we force a comparative imperative that the columns of the digital precoding matrix should be mutually orthogonal, i.e.,

$$\mathbf{F}_{\text{BB}}^H \mathbf{F}_{\text{BB}} = \alpha \mathbf{F}_{\text{DD}}^H \alpha \mathbf{F}_{\text{DD}} = \alpha^2 \mathbf{I}_{N_s},$$

3

where \mathbf{F}_{DD} is a unitary matrix with the same dimension as \mathbf{F}_{BB} . Despite the fact that there is no current end on the ideal construction of the advanced precoder in cross breed precoding, it is regular and charming to examine the half and half precoder plan under such a symmetrical limitation of the computerized precoder. All the more critically, this symmetrical imperative makes the potential for the simple precoder \mathbf{F}_{RF} to get rid of the product form with \mathbf{F}_{BB} , which will help significantly simplify the analog precoder design

B. Hybrid precoder design

By replacing \mathbf{F}_{BB} with $\alpha \mathbf{F}_{\text{DD}}$, the objective function can be further recast as

$$\begin{aligned} & \|\mathbf{F}_{\text{opt}} - \mathbf{F}_{\text{RF}} \mathbf{F}_{\text{BB}}\|_F^2 \\ &= \text{Tr}(\mathbf{F}_{\text{opt}}^H \mathbf{F}_{\text{opt}}) - \text{Tr}(\mathbf{F}_{\text{opt}}^H \mathbf{F}_{\text{RF}} \mathbf{F}_{\text{BB}}) \\ & \quad - \text{Tr}(\mathbf{F}_{\text{BB}}^H \mathbf{F}_{\text{RF}}^H \mathbf{F}_{\text{opt}}) + \text{Tr}(\mathbf{F}_{\text{BB}}^H \mathbf{F}_{\text{RF}}^H \mathbf{F}_{\text{RF}} \mathbf{F}_{\text{BB}}) \\ &= \|\mathbf{F}_{\text{opt}}\|_F^2 - 2\alpha \Re \text{Tr}(\mathbf{F}_{\text{DD}} \mathbf{F}_{\text{opt}}^H \mathbf{F}_{\text{RF}}) \\ & \quad + \alpha^2 \|\mathbf{F}_{\text{RF}} \mathbf{F}_{\text{DD}}\|_F^2. \end{aligned}$$

Obviously, when $\alpha = \frac{\Re \text{Tr}(\mathbf{F}_{\text{DD}} \mathbf{F}_{\text{opt}}^H \mathbf{F}_{\text{RF}})}{\|\mathbf{F}_{\text{RF}} \mathbf{F}_{\text{DD}}\|_F^2}$, the objective function $\|\mathbf{F}_{\text{opt}} - \mathbf{F}_{\text{RF}} \mathbf{F}_{\text{BB}}\|_F^2$ in (20) has the minimum value, given by $\|\mathbf{F}_{\text{opt}}\|_F^2 - \frac{\{\Re \text{Tr}(\mathbf{F}_{\text{DD}} \mathbf{F}_{\text{opt}}^H \mathbf{F}_{\text{RF}})\}^2}{\|\mathbf{F}_{\text{RF}} \mathbf{F}_{\text{DD}}\|_F^2}$. Note that the square of the Frobenius norm $\|\mathbf{F}_{\text{RF}} \mathbf{F}_{\text{DD}}\|_F^2$ has the following upper bound

$$\begin{aligned} \|\mathbf{F}_{\text{RF}} \mathbf{F}_{\text{DD}}\|_F^2 &= \text{Tr}(\mathbf{F}_{\text{DD}}^H \mathbf{F}_{\text{RF}}^H \mathbf{F}_{\text{RF}} \mathbf{F}_{\text{DD}}) \\ &= \text{Tr} \left\{ \begin{pmatrix} \mathbf{I}_{N_s} & \\ & \mathbf{0} \end{pmatrix} \mathbf{K}^H \mathbf{F}_{\text{RF}}^H \mathbf{F}_{\text{RF}} \mathbf{K} \right\} \\ &\leq \text{Tr} \{ \mathbf{K}^H \mathbf{F}_{\text{RF}}^H \mathbf{F}_{\text{RF}} \mathbf{K} \} \\ &= \|\mathbf{F}_{\text{RF}}\|_F^2, \end{aligned}$$

PE-AltMin Algorithm: A Low-Complexity Algorithm for the Fully-connected Structure

- Input:** \mathbf{F}_{opt}
- 1: Construct $\mathbf{F}_{\text{RF}}^{(0)}$ with random phases and set $k = 0$;
 - 2: **repeat**
 - 3: Fix $\mathbf{F}_{\text{RF}}^{(k)}$, compute the SVD: $\mathbf{F}_{\text{opt}}^H \mathbf{F}_{\text{RF}}^{(k)} = \mathbf{U}^{(k)} \mathbf{S}^{(k)} \mathbf{V}_1^{(k)H}$;
 - 4: $\mathbf{F}_{\text{DD}}^{(k)} = \mathbf{V}_1^{(k)} \mathbf{U}^{(k)H}$;
 - 5: Fix $\mathbf{F}_{\text{DD}}^{(k)}$, and $\arg \{ \mathbf{F}_{\text{RF}}^{(k+1)} \} = \arg \left(\mathbf{F}_{\text{opt}} \mathbf{F}_{\text{DD}}^{(k)H} \right)$;
 - 6: $k \leftarrow k + 1$;
 - 7: **until** a stopping criterion triggers;
 - 8: For the digital precoder at the transmit end, normalize $\hat{\mathbf{F}}_{\text{BB}} = \frac{\sqrt{N_s}}{\|\mathbf{F}_{\text{RF}} \mathbf{F}_{\text{DD}}\|_F} \mathbf{F}_{\text{DD}}$.
-

V. HYBRID PRECODING FOR THE PARTIALLY-CONNECTEDSTRUCTURE

Not quite the same as the completely associated structure, the to some extent associated structure displayed in Fig. 1(c) [29], [33], [34], additionally called the variety of subarray structure, utilizes remarkably less stage shifters and is supported for energy-productive mmWave MIMO frameworks [31], [32]. Especially, the result sign of every RF chain is just associated with N_t/N_t RF antennas, which reduces the hardware complexity in the RF domain. Therefore, the analog precoder \mathbf{F}_{RF} in this structure belongs to a set of block matrices A_p , where each block is an N_t/N_t RF dimension vector with unit modulus elements.

It has been established that the SDR is tight when the number of constraints is less than three for a complex-valued homogeneous QCQP problem [51]. Consequently, problem (36) without the rank-one constraint reduces into a semidefinite programming (SDP) problem and it can be solved by standard convex optimization algorithms [52],

from which we can obtain the globally optimal solution of the digital precoder design problem (34). Therefore, a step-by-step summary is provided below as the SDR-AltMin Algorithm.

SDR-AltMin Algorithm: SDR Based Hybrid Precoding for the Partially-connected Structure

Input: \mathbf{F}_{opt}

- 1: Construct $\mathbf{F}_{\text{RF}}^{(0)}$ with random phases and set $k = 0$;
- 2: **repeat**
- 3: Fix $\mathbf{F}_{\text{RF}}^{(k)}$, solving $\mathbf{F}_{\text{BB}}^{(k)}$ using SDR
- 4: Fix $\mathbf{F}_{\text{BB}}^{(k)}$, and update $\mathbf{F}_{\text{RF}}^{(k+1)}$
- 5: $k \leftarrow k + 1$;
- 6: **until** a stopping criterion triggers.

Comparison Between Two Hybrid Precoding Structures

The main difference between two hybrid precoding structures considered in this paper is the number of phase shifters N_{PS} in use for given numbers of data streams, RF chains, and antennas. In terms of spectral efficiency, the fully-connected structure provides more design degrees of freedom (DoFs) in the RF domain and thus will outperform the partially-connected one. However, when taking power consumption into consideration, it is intriguing to know which structure has better energy efficiency. Energy efficiency is defined as the ratio between spectral efficiency and total power consumption

$$\eta = \frac{R}{P_{\text{common}} + N_{\text{RF}}^t P_{\text{RF}} + N_t P_{\text{PA}} + N_{\text{PS}} P_{\text{PS}}}, \quad 4$$

VI. HYBRID PRECODING IN MMWAVE MIMO-OFDM SYSTEMS

In past areas, we planned mixture precoders for narrowband mmWave frameworks. Then again, the huge accessible data transfer capacity is one of the interesting qualities of mmWave frameworks, and accordingly the plan of the crossover precoders ought to be explored when multicarrier methods, for example, OFDM are used to defeat the multipath blurring. In this part, we will broaden the proposed AltMin calculations

to mmWave MIMO-OFDM frameworks. In traditional MIMO-OFDM frameworks with sub-6 GHz transporter frequencies, advanced precoding is acted in the recurrence space for each subcarrier, which can likewise be taken on in mmWave MIMO-OFDM frameworks. Futhermore, the advanced precoding is trailed by a backwards quick Fourier change (IFFT) activity, which joins the signs of all the subcarriers together. Nonetheless, since the simple precoding is a post-IFFT handling, the signs of all the subcarriers can share one normal simple precoder in mmWave MIMOOFDM frameworks [22], [30]. Under this new limitation, the got sign of each subcarrier after the deciphering system can then be communicated as

$$\mathbf{y}[k] = \sqrt{\rho} \mathbf{W}_{\text{BB}}^H[k] \mathbf{W}_{\text{RF}}^H \mathbf{H}[k] \mathbf{F}_{\text{RF}} \mathbf{F}_{\text{BB}}[k] \mathbf{s} + \mathbf{W}_{\text{BB}}^H[k] \mathbf{W}_{\text{RF}}^H \mathbf{n} \quad 5$$

VII. SIMULATION RESULTS

In this part, we will mathematically assess the exhibition of our proposed calculations. Information streams are sent from a transmitter with $N_t = 128$ to a recipient with $N_r = 32$ recieving wires, while both are furnished with USPA. The channel boundaries are set as $N_{\text{cl}} = 5$ groups, $N_{\text{ray}} = 10$ beams and the typical force of each bunch is $\sigma 2\alpha_i = 1$. The azimuth and height points of flight and appearance (AoDs and AoAs) follow the Laplacian conveyance with consistently disseminated mean points and rakish spread of 10 degrees. The recieving wire components in the USPA are isolated by a half frequency distance and all recreation results are found the middle value of over 1000 channel acknowledge. For all the proposed AltMin calculations, the underlying periods of the simple precoder FRF follow a uniform dispersion over $[0, 2\pi)$. where the unit of η is pieces/Hz/J and P_{common} is the normal force of the transmitter. PRF, PPS, and PPA are the force of every RF chain, stage shifter, and power speaker, separately. *Spectral Efficiency Evaluation* Firstly, we investigate the spectral efficiency achieved by different algorithms when the number of RF chains is equal to that of the data streams, i.e., $N_{\text{tRF}} = N_{\text{rRF}} = N_s$.

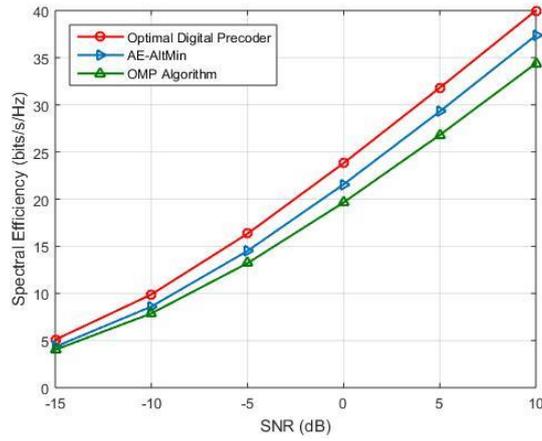


Fig. 1. Spectral efficiency achieved by different precoding algorithms when $N_tRF = 64$ $N_rRF = 16$ $N_s = 5$.

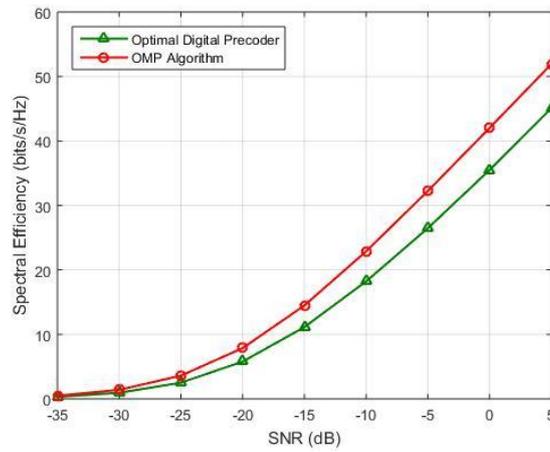


Fig. 2. Spectral efficiency achieved by the OMP-AltMin and ODP-AltMin $NRF = N_s = 6$

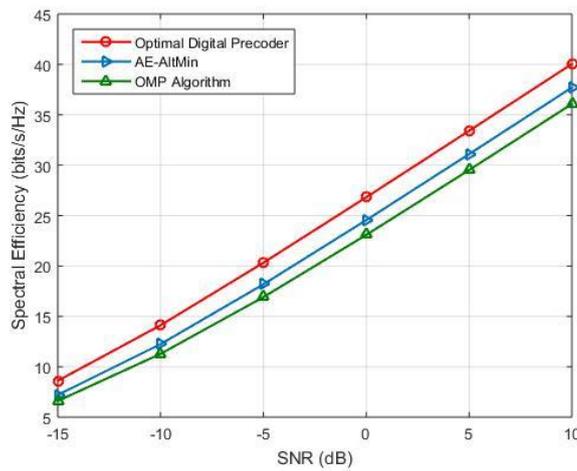


Fig. 3. Spectral efficiency achieved by different precoding algorithms given $N_s = 4$, $N_tRF = 128$ $NRF = 32$

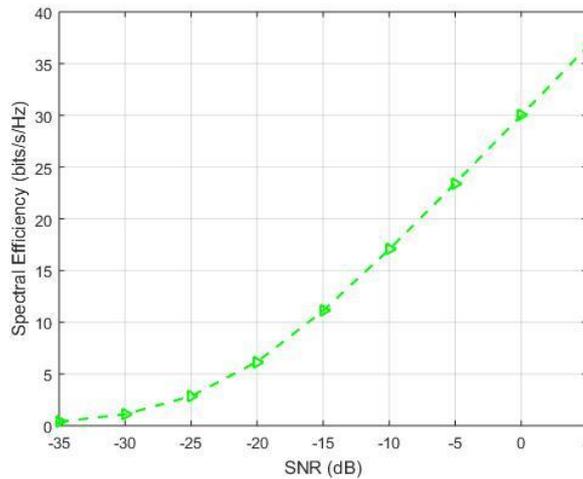


Fig. 4. Spectral efficiency achieved by PE-Altmin $N_s = 4$, $N_rRF = 64$ $NRF=16$

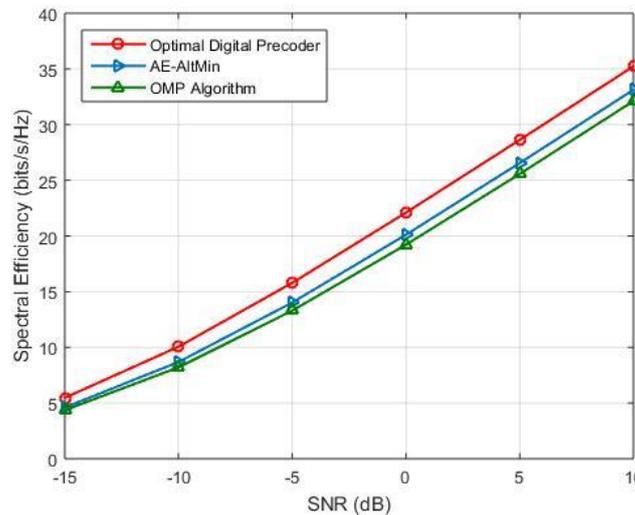


Fig. 5. Spectral efficiency achieved by different precoding algorithms in mmWave MIMO-OFDM systems given $N_t=64$ $N_rRF =16$ $N_s=5$.

VIII. CONCLUSIONS

Based on the guideline of substituting minimization, in thispaper, we proposed an imaginative plan strategy for cross breed precoding in mmWave MIMO frameworks. Compelling calculations were proposed for the completely associated and to some degree associated half breed precoding designs, and recreation results uncovered the accompanying important plan experiences:

The hybrid precoders with the fully-connected structure can approach the performance of the fully digital precoder when the number of RF chains is slightly larger than the number of data streams. Considering the increasing cost and power consumption, there is no need to further increase the number of RF chains.

For the partially-connected structure, in terms of spectral efficiency, hybrid precoders provide substantial gains over analog beamforming. Moreover, it is beneficial to execute a somewhat huge number of RF chains, to upgrade both otherworldly and energy productivity. At long last, our outcomes have obviously exhibited the adequacy of exchanging minimization in planning cross breed precoders in mm Wave MIMO frameworks. It will be intriguing to stretch out the rotating minimization methods to other crossover precoder plan issues, as well as to consider the half and half precoder configuration joined with channel preparing and input. Likewise a better intermingling examination and optimality portrayal of the proposed calculations will require further examination.

CONFLICTS OF INTEREST

The authors declare no competing interests.

REFERENCE

- [1] X. Yu, J.-C. Shen, J. Zhang, and K. B. Letaief, "Hybrid precoding design in millimeter wave MIMO systems: an alternating minimization approach," in *Proc. 2015 IEEE Global Commun. Conf. (GLOBECOM)*, San Diego, CA, Dec. 2015.
- [2] J. G. Andrews, S. Buzzi, W. Choi, S. Hanly, A. Lozano, A. C. Soong, and J. C. Zhang, "What will 5G be?" *IEEE J. Sel. Areas Commun.*, vol. 32, no. 6, pp. 1065–1082, June 2014.
- [3] J. Hoydis, S. Ten Brink, and M. Debbah, "Massive MIMO in the UL/DL of cellular networks: how many antennas do we need?" *IEEE J. Sel. Areas Commun.*, vol. 31, no. 2, pp. 160–171, Aug. 2013.
- [4] C. Li, J. Zhang, and K. B. Letaief, "Throughput and energy efficiency analysis of small cell networks with multi-antenna base stations," *IEEE Trans. Wireless Commun.*, vol. 13, no. 5, pp. 2502–2517, May 2014.
- [5] G. Bartoli, R. Fantacci, K. B. Letaief, D. Marabissi, N. Privitera, M. Pucci, and J. Zhang, "Beamforming for small cell deployment in LTE-advanced and beyond," *IEEE Wireless Commun.*, vol. 21, no. 2, Apr. 2014.
- [6] K. Doppler, M. Rinne, C. Wijting, C. B. Ribeiro, and K. Hugl, "Device to-device communication as an underlay to LTE-advanced networks," *IEEE Commun. Mag.*, vol. 47, no. 12, pp. 42–49, Dec. 2009.
- [7] Y. Shi, J. Zhang, K. B. Letaief, B. Bai, and W. Chen, "Large-scale convex optimization for ultra-dense Cloud-RAN," *IEEE Wireless Commun.*, vol. 22, no. 3, pp. 84–91, June 2015.
- [8] Y. Shi, J. Zhang, and K. B. Letaief, "Group sparse beamforming for green Cloud-RAN," *IEEE Trans. Wireless Commun.*, vol. 13, no. 5, pp. 2809–2823, May 2014.
- [9] S. Hur, T. Kim, D. J. Love, J. V. Krogmeier, T. A. Thomas, and A. Ghosh, "Millimeter wave beamforming for wireless backhaul and access in small cell networks," *IEEE Trans. Commun.*, vol. 61, no. 10, pp. 4391–4403, Oct. 2013.
- [10] E. Torkildson, U. Madhow, and M. Rodwell, "Indoor millimeter wave MIMO: feasibility and performance," *IEEE Trans. Wireless Commun.*, vol. 10, no. 12, pp. 4150–4160, Dec. 2011.
- [11] M. R. Akdeniz, Y. Liu, M. K. Samimi, S. Sun, S. Rangan, T. S. Rappaport, and E. Erkip, "Millimeter wave channel modeling and cellular capacity evaluation," *IEEE J. Sel. Areas Commun.*, vol. 32, no. 6, pp. 1164–1179, June 2014.
- [12] T. S. Rappaport, R. W. Heath, R. C. Daniels, and J. N. Murdock, *Millimeter Wave Wireless Communications*. Pearson Education, 2014.
- [13] O. El Ayach, S. Rajagopal, S. Abu-Surra, Z. Pi, and R. W. Heath, "Spatially sparse precoding in millimeter wave MIMO systems," *IEEE Trans. Wireless Commun.*, vol. 13, no. 3, pp. 1499–1513, Mar. 2014.
- [14] P. Jain, P. Netrapalli, and S. Sanghavi, "Low-rank matrix completion using alternating minimization," in *Proc. 45th ACM Symp. Theory of Comput. (STOC)*, Palo Alto, CA, June 2013, pp. 665–674. 1932-4553 (c) 2015.
- [15] P. Drineas and M. W. Mahoney, "On the Nyström method for approximating a gram matrix for improved kernel-based learning," *J. Mach. Learn. Research*, vol. 6, pp. 2153–2175, Dec. 2005.
- [16] W. Roh, J.-Y. Seol, J. Park, B. Lee, J. Lee, Y. Kim, J. Cho, K. Cheun, and F. Aryanfar, "Millimeter-wave beamforming as an enabling technology for 5G cellular communications: theoretical feasibility and prototype results," *IEEE Commun. Mag.*, vol. 52, no. 2, pp. 106–113, Feb. 2014.
- [17] S. Sun, T. S. Rappaport, R. W. Heath, A. Nix, and S. Rangan, "MIMO for millimeter-wave wireless communications: beamforming, spatial multiplexing, or both?" *IEEE Commun. Mag.*, vol. 52, no. 12, pp. 110–121, Dec. 2014.
- [18] A. Alkhateeb, O. El Ayach, G. Leus, and R. W. Heath, "Channel estimation and hybrid precoding for millimeter wave cellular systems," *IEEE J. Sel. Topics Signal Process.*, vol. 8, no. 5, pp. 831–846, Oct. 2014.
- [19] P. Wang, Y. Li, L. Song, and B. Vucetic, "Multi-gigabit millimeter wave wireless communications for 5G: from fixed access to cellular networks," *IEEE Commun. Mag.*, vol. 53, no. 1, pp. 168–178, Jan. 2015.

- [20] S. Rangan, T. S. Rappaport, and E. Erkip, "Millimeter-wave cellular wireless networks: potentials and challenges," *Proc. IEEE*, vol. 102, no. 3, pp. 366–385, Feb. 2014.
- [21] Y. Lee, C.-H. Wang, and Y.-H. Huang, "A hybrid RF/baseband precoding processor based on parallel-index-selection matrix-inversion-bypass simultaneous orthogonal matching pursuit for millimeter wave MIMO systems," *IEEE Trans. Signal Process.*, vol. 63, no. 2, pp. 305–317, Jan. 2015.
- [22] J. Lee and Y. Lee, "AF relaying for millimeter wave communication systems with hybrid RF/baseband MIMO processing," in *Proc. 2014 IEEE Int. Conf. Commun. (ICC)*, Sydney, NSW, June 2014, pp. 5838–5842.
- [23] M. Kim and Y. Lee, "MSE-based hybrid RF/baseband processing for millimeter wave communication systems in MIMO interference channels," *IEEE Trans. Veh. Technol.*, vol. 64, no. 6, pp. 2714–2720, June 2015.
- [24] J. Brady, N. Behdad, and A. M. Sayeed, "Beam space MIMO for millimeter-wave communications: system architecture, modeling, analysis, and measurements," *IEEE Trans. Antennas Propag.*, vol. 61, no. 7, pp. 3814–3827, July 2013.
- [25] C. Rusu, R. Mendez-Rial, N. Gonzalez-Prelcicy, and R. W. Heath, "Low complexity hybrid sparse precoding and combining in millimeter wave MIMO systems," in *Proc. 2015 IEEE Int. Conf. Commun. (ICC)*, London, UK, June 2015, pp. 1340–1345.
- [26] E. Zhang and C. Huang, "On achieving optimal rate of digital precoder by RF-baseband codesign for MIMO systems," in *Proc. 80th IEEE Veh. Technol. Conf. (VTC Fall)*, Vancouver, BC, Sept. 2014, pp. 1–5.
- [27] L. Liang, W. Xu, and X. Dong, "Low-complexity hybrid precoding in massive multiuser MIMO systems," *IEEE Wireless Commun. Lett.*, vol. 3, no. 6, pp. 653–656, Dec. 2014.
- [28] G. Wang and G. Ascheid, "Joint pre/post-processing design for large millimeter wave hybrid spatial processing systems," in *Proc. 20th Euro. Wireless Conf.*, Barcelona, Spain, May 2014, pp. 1–6.
- [29] J. Singh and S. Ramakrishna, "On the feasibility of codebook-based beamforming in millimeter wave systems with multiple antenna arrays," *IEEE Trans. Wireless Commun.*, vol. 14, no. 5, pp. 2670–2683, May 2015.
- [30] C. Kim, T. Kim, and J.-Y. Seol, "Multi-beam transmission diversity with hybrid beamforming for MIMO-OFDM systems," in *Proc. 2013 IEEE Global Commun. Conf. Workshops (GLOBECOM Wkshps)*, Atlanta, GA, Dec. 2013, pp. 61–65.
- [31] L. Dai, X. Gao, J. Quan, S. Han, and C.-L. I, "Near-optimal hybrid analog and digital precoding for downlink mmwave massive MIMO systems," in *Proc. 2015 IEEE Int. Conf. Commun. (ICC)*, London, UK, June 2015, pp. 1334–1339.
- [32] S. Han, C.-L. I, Z. Xu, and C. Rowell, "Large-scale antenna systems with hybrid analog and digital beamforming for millimeter wave 5G," *IEEE Commun. Mag.*, vol. 53, no. 1, pp. 186–194, Jan. 2015.
- [33] J. Zhang, X. Huang, V. Dyadyuk, and Y. Guo, "Massive hybrid antenna array for millimeter-wave cellular communications," *IEEE Wireless Commun.*, vol. 22, no. 1, pp. 79–87, Feb. 2015.
- [34] A. Abbaspour-Tamijani and K. Sarabandi, "An affordable millimeter wave beam-steerable antenna using interleaved planar subarrays," *IEEE Trans. Antennas Propag.*, vol. 51, no. 9, pp. 2193–2202, Nov. 2003.
- [35] D. J. Love and R. W. Heath, "Limited feedback unitary precoding for spatial multiplexing systems," *IEEE Trans. Inf. Theory*, vol. 51, no. 8, pp. 2967–2976, Aug. 2005.
- [36] P. Netrapalli, P. Jain, and S. Sanghavi, "Phase retrieval using alternating minimization," in *Proc. Adv. in Neural Inf. Process. Syst. (NIPS)*, Lake Tahoe, Dec. 2013, pp. 2796–2804.
- [37] Y. Wang, J. Yang, W. Yin, and Y. Zhang, "A new alternating minimization algorithm for total variation image reconstruction," *SIAM J. Imag. Sci.*, vol. 1, no. 3, pp. 248–272, Aug. 2008.
- [38] T. F. Chan and C.-K. Wong, "Convergence of the alternating minimization algorithm for blind deconvolution," *Linear Alg. Appl.*, vol. 316, no. 1, pp. 259–285, Apr. 2000.
- [39] H. Kim and H. Park, "Nonnegative matrix factorization based on alternating nonnegativity constrained least squares and active set method," *SIAM J. Matrix Anal. Appl.*, vol. 30, no. 2, pp. 713–730, July 2008.
- [40] X. Zhang, A. F. Molisch, and S.-Y. Kung, "Variable-phase-shift-based RF-Baseband codesign for MIMO antenna selection," *IEEE Trans. Signal Process.*, vol. 53, no. 11, pp. 4091–4103, Nov. 2005.

- [41] P.-A. Absil, R. Mahony, and R. Sepulchre, *Optimization Algorithms on Matrix Manifolds*. Princeton University Press, 2009.
- [42] Y. Ma and Y. Fu, *Manifold Learning Theory and Applications*. CRC Press, 2012.
- [43] J. Lee, *Introduction to Smooth Manifolds*. Springer Science & Business Media, 2012.
- [44] Y. Shi, J. Zhang, and K. B. Letaief, “Low-rank matrix completion via Riemannian pursuit for topological interference management,” in *Proc. IEEE Int. Symp. Information Theory (ISIT)*, Hong Kong, June 2015, pp.1831–1835.
- [45] A. Hjørungnes, *Complex-valued Matrix Derivatives*. Cambridge University Press, 2011.
- [46] N. Boumal, B. Mishra, P.-A. Absil, and R. Sepulchre, “Manopt, a Matlab toolbox for optimization on manifolds,” *J. Mach. Learn. Research*, vol. 15, pp. 1455–1459, Jan. 2014.